

Jc405 U.S. PTO



10/15/97

**H. NESS, DICKEY & PIERCE P.L.C.**  
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Date: October 15, 1997

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Hon. Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Re: Inventors: Yukio Uemura  
Kenji Suwa  
Kazushi Shikata

For: **Air Conditioning Apparatus For Vehicle**

Atty. Docket No.: 4041J-000063/CPA

Sir:

Transmitted herewith for filing is the above referenced patent application.

1. ☒ 9 (nine) formal sheets of drawings showing Figures 1 - 15 are enclosed.
2. ☐ A Verified Statement Claiming Small Entity Status is enclosed.
- 3a. ☒ A check is enclosed to cover the fees as calculated below. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750. A duplicate copy of this document is enclosed.
- 3b. ☐ The fees calculated below will be paid within the time allotted for completion of the filing requirements.
- 3c. ☐ The fees calculated below are to be charged to Deposit Account No. 08-0750. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to said Deposit Account. A duplicate copy of this document is enclosed.


<b>FILING FEE</b>	Number		Number		Rate		Basic Fee
<b>CALCULATION</b>	Filed		Extra				\$790.00
Total Claims	18	- 20 =	0	x	\$22.00	=	---
Independent Claims	5	- 3 =	2	x	\$82.00	=	\$164.00
Multiple Dependent Claim(s) Used					\$270.00	=	
FILING FEE - NON-SMALL ENTITY							\$954.00
FILING FEE - SMALL ENTITY: Reduction by 1/2							
[ ] Verified Statement enclosed.							
[ ] Verified Statement filed in priority application.							
Assignment Recordal Fee (\$40.00)							\$ 40.00
37 C.F.R. §1.17(k) Fee (non-English application)							
<b>TOTAL</b>							<b>\$994.00</b>

Date: October 15, 1997

4. ☒ An Assignment of the invention is enclosed. The required cover sheet under 37 C.F.R. §3.11, §3.28 and §3.41 is attached.
5. ☒ A signed Oath/Declaration is enclosed.
6. ☒ **Foreign Priority:** Priority based on Japanese Patent Application No. 08-152721 filed June 13, 1996; Japanese Patent Application No. 08-273715 filed October 16, 1996; Japanese Patent Application No. 08-340182 filed December 19, 1996; and Japanese Patent Application No. 08-340107 filed December 19, 1996, is claimed.
7. ☒ A copy of the above referenced priority documents will be filed in due course, pursuant to 35 U.S.C. §119(a)-(d).
8. ☐ Because the enclosed application is in a non-English language, a verified English translation for examination purposes of same ☐ is enclosed ☐ will be filed as soon as it is available.
9. ☐ **Provisional Application Priority:** Priority based on United States Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_, is claimed under 35 U.S.C. §119(e).
10. ☐ A Preliminary Amendment is enclosed.
11. ☒ An Information Disclosure Statement, 1 (one) sheet of PTO Form 1449, and 3 (three) patents are enclosed.
12. ☐ An Establishment of Assignee's Right To Prosecute Application Under 37 C.F.R. § 3.73(b), and Power Of Attorney is enclosed.
13. ☒ An Express Mailing Certificate is enclosed, Label # EH 293 847 587 US.
14. ☐ Other \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Attention is directed to the fact that the address of this firm has been designated as the correspondence address for this application.

Respectfully,

  
\_\_\_\_\_  
Michael J. Schmidt  
Reg. No. 34,007

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**HARNES, DICKEY & PIERCE, P.L.C.**

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and Trademarks  
Washington, D.C. 20231

Sir:

**EXPRESS MAILING CERTIFICATE**

Applicants: Yukio Uemura  
Kenji Suwa  
Kazushi Shikata

For: **AIR CONDITIONING APPARATUS FOR VEHICLE**

Docket: 4041J-000063/CPA

Attorney: H. Keith Miller  
Michael J. Schmidt

"Express Mail" Mailing Label Number ..... EH 293 847 587 US

Date of Deposit ..... October 15, 1997

I hereby certify and verify that the accompanying Transmittal letter (in duplicate), 51 page Patent Application with executed Declaration and Power of Attorney, 9 sheets of Formal Drawings showing Figures 1 - 15, Assignment with attached Cover Sheet For Document Recordal, Information Disclosure Statement (in duplicate), 1 sheet US PTO Form 1449, copies of cited Patent References, and a Check for \$994.00 are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
\_\_\_\_\_  
Signature of Person Mailing Documents